

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Alain H. CURAUDEAU et al.

Serial No.: 10/588,419

Filing Date: (Int'l.) February 8, 2005

For: PHOTODYNAMIC THERAPY FOR THE
TREATMENT OF HYPERACTIVE
SEBACEOUS GLAND DISORDERS
USING TOPICALLY APPLIED
HYDROPHOBIC GREEN PORPHYRINS

Confirmation No.: 8347

Examiner: Not Yet Assigned

Art Unit: Not Yet Assigned

DECLARATION OF VANDHANA MISRI UNDER 37 CFR 1.47(A)

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

I, Vandhana Misri, declare and state that:

1. I am Administrator, Intellectual Property for QLT Inc., assignee and real-party-in-interest for the above-referenced patent application.
2. I have first-hand knowledge regarding the uncooperativeness/unavailability of the inventor Dr. Patrick M. Curry regarding execution of the declaration and assignment for the subject patent application (USSN 10/588,419).
3. At the time of the invention for the subject patent application, Dr. Curry was an employee of QLT, Inc. and one of its co-inventors.

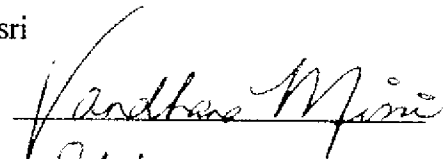
5. Dr. Curry is no longer an employee of QLT, Inc.
6. Prior to my employment, Cherie Davis, IP Paralegal at QLT, had the responsibility to collect Dr. Curry's signature for the declaration and assignment for the subject patent application.
7. Upon Cherie Davis's departure, I assumed the responsibility of obtaining Dr. Curry's signature.
8. According to our records, on July 16, 2007, Cherie Davis sent a copy of the subject PCT application and the preliminary amendment which comprised the above-referenced US patent application along with the Declaration and Assignment to Dr. Curry at his last known address. A copy of the letter, Declaration and Assignment is attached as Exhibit A.
9. According to our records, on August 21, 2007, Cherie Davis emailed Dr. Curry to ask him if he had received the documents she had mailed. A copy of the email along with proof of its receipt is attached as Exhibit B.
10. According to our records, on September 14, 2007, Cherie Davis again delivered to Dr. Curry by courier the documents described in paragraph 3. A copy of the letter along with proof of delivery is attached as Exhibit C.
11. On September 20, 2007, I emailed Dr. Curry to again request his signature. A copy of the email is attached as Exhibit D.
12. On September 21, 2007 Dr. Curry responded to me indicating that he is "too busy to seek out a lawyer or notary public, arrange an appointment and deliver these documents." Dr. Curry also states that he "signed away any royalties from this patent for one dollar." Dr. Curry also stated, "please do not bother me again with any more emails or phone calls." A copy of his email is attached as Exhibit E.

13. On October 3, 2007, I offered to make the necessary arrangements to have someone come to Dr. Curry to complete the notarizations and retrieve the signed documents. A copy of this email is attached as Exhibit F.
14. On October 11, 2007, I offered to accept a faxed copy of his signature waiving any need to have a notary present. A copy of this email is attached as Exhibit G.
15. To date, Dr. Curry has not returned a signed copy of the declaration or assignment both of which have been signed by all the other inventors.

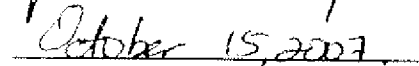
I declare that all statements made herein of my own knowledge are true and that all statements made on information and believe are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under § 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Vandhana Misri

Signature:



Date:



July 16, 2007

Dr. Patrick M. Curry
638 East 21st Avenue,
Vancouver, BC V5V 1R7

US Patent Application 10/588419
National Entry of PCT/CA2005/000154
Title: PHOTODYNAMIC THERAPY FOR THE TREATMENT OF HYPERACTIVE SEBACEOUS
GLAND DISORDERS USING TOPICALLY APPLIED HYDROPHOBIC GREEN
PORPHYRINS
Effective filing date: February 8, 2005
QLT Inc. ref 30005-10019.20

Dear Dr. Curry:

The subject US patent application, on which you are named as a co-inventor, was submitted to the US Patent & Trademark Office on August 4, 2006. Enclosed for your review are the published PCT application, WO 2005/120572, and the Preliminary Amendment which comprise the US patent application. Please retain these documents in case Dr. Lana Janes wishes to discuss them with you during the prosecution phase of the application. Also enclosed are 2 forms; a Declaration and an Assignment.

Please sign the Declaration after you have reviewed the application and prior to or on the same day as you sign the Assignment. Please send the signed Declaration to me as soon as possible as we are to file the form at the US Patent & Trademark Office by August 15th.

Please sign the Assignment in the presence of a lawyer or Notary Public. If you provide us with the receipt, QLT will reimburse you for their charge. No filing due date has been set for the Assignment but we would appreciate receiving back the completed form as soon as possible.

If you have any questions or concerns regarding the application, please contact:

Lana E. Janes, Ph.D.
Chief Patent Counsel, Intellectual Property
Ph: 604-707-7423 [direct]
em: ljanes@qltinc.com

Yours truly,

QLT Inc.

Cherie Davis, IP Paralegal
ph: 604-707-7402 [direct]
em: cdavis@qltinc.com

encls (3)
1001920 In PC - Decl. Assignment 12Jul2007.doc

EXHIBIT A

DECLARATION FOR PATENT APPLICATION

(Includes Reference to PCT International Applications)

ATTORNEY'S DOCKET NUMBER

249692001700

As a below named inventor I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

PHOTODYNAMIC THERAPY FOR THE TREATMENT OF HYPERACTIVE SEBACEOUS GLAND DISORDERS USING TOPICALLY APPLIED HYDROPHOBIC GREEN PORPHYRINS

the specification of which (check only one item below):

- ☐ is attached hereto.
- ☐ was filed as United States application
- Serial No.
on
and was amended on (if applicable).
- ☒ was filed as PCT international application
- Number PCT/CA2005/000154
on February 8, 2005
and was amended under PCT Article 19
on * (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37 Code of Federal Regulations § 1.56(a) and (b).

I hereby claim foreign priority benefits under Title 35 United States Code § 119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

PRIOR FOREIGN/PCT APPLICATION(S) AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. § 119:

COUNTRY (if PCT indicate "PCT")	APPLICATION NUMBER	DATE OF FILING	PRIORITY CLAIMED UNDER 35 U.S.C. § 119
CANADA	2,470,403	June 9, 2004	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
CANADA	2,457,214	February 6, 2004	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO

Declaration for Patent Application (Continued) (Includes Reference to PCT International Applications)				ATTORNEY'S DOCKET NUMBER 249692001700	
I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:					
PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. § 120:					
U.S. APPLICATIONS				STATUS (Check one)	
U S APPLICATION NUMBER	U S FILING DATE		PATENTED	PENDING	ABANDONED
PCT APPLICATIONS DESIGNATING THE U.S.			STATUS (Check one)		
PCT APPLICATION NUMBER	PCT FILING DATE	U S SERIAL NUMBERS ASSIGNED (if any)	PATENTED	PENDING	ABANDONED
PCT/CA2005/000141	February 4, 2005			Yes	
POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (List name and registration number)					
CUSTOMER NO. 25225					
Send correspondence to: Kate H. Murashige Morrison & Foerster LLP 12531 High Bluff Drive, Suite #100 San Diego, CA 92130				Direct telephone calls to: (858) 720-5112	
201	FULL NAME OF INVENTOR	FAMILY NAME CURAUDEAU	FIRST GIVEN NAME Alain	SECOND GIVEN NAME H.	
	RESIDENCE & CITIZENSHIP	CITY West Vancouver	STATE OR FOREIGN COUNTRY British Columbia	COUNTRY OF CITIZENSHIP FRANCE	
	POST OFFICE ADDRESS	POST OFFICE ADDRESS 1785 Fulton Avenue	CITY West Vancouver	STATE & ZIP CODE/COUNTRY British Columbia, V7V 1S8. CANADA	
202	FULL NAME OF INVENTOR	FAMILY NAME NEYNDORFF	FIRST GIVEN NAME Herma	SECOND GIVEN NAME C.	
	RESIDENCE & CITIZENSHIP	CITY Vancouver	STATE OR FOREIGN COUNTRY British Columbia	COUNTRY OF CITIZENSHIP NETHERLANDS	
	POST OFFICE ADDRESS	POST OFFICE ADDRESS 1826 Grant Street	CITY Vancouver	STATE & ZIP CODE/COUNTRY British Columbia, V5L 2Y8 CANADA	
203	FULL NAME OF INVENTOR	FAMILY NAME TAO	FIRST GIVEN NAME Jing-Song	SECOND GIVEN NAME	
	RESIDENCE & CITIZENSHIP	CITY Vancouver	STATE OR FOREIGN COUNTRY British Columbia	COUNTRY OF CITIZENSHIP CANADA	
	POST OFFICE ADDRESS	POST OFFICE ADDRESS 2450 West 18th Avenue	CITY Vancouver	STATE & ZIP CODE/COUNTRY British Columbia V6L 1B1 CANADA	
204	FULL NAME OF INVENTOR	FAMILY NAME LEVY	FIRST GIVEN NAME Julia	SECOND GIVEN NAME G.	
	RESIDENCE & CITIZENSHIP	CITY Vancouver	STATE OR FOREIGN COUNTRY British Columbia	COUNTRY OF CITIZENSHIP CANADA	
	POST OFFICE ADDRESS	POST OFFICE ADDRESS #601 - 1490 Pennyfarthing Drive	CITY Vancouver	STATE & ZIP CODE/COUNTRY British Columbia V6J 4Z3 CANADA	

205	FULL NAME OF INVENTOR	FAMILY NAME HUNT	FIRST GIVEN NAME David	SECOND GIVEN NAME W. C.
	RESIDENCE & CITIZENSHIP	CITY Surrey	STATE OR FOREIGN COUNTRY British Columbia	COUNTRY OF CITIZENSHIP CANADA
	POST OFFICE ADDRESS	POST OFFICE ADDRESS 12722 Beckett Road	CITY Surrey	STATE & ZIP CODE/COUNTRY British Columbia V4A 2X1 CANADA
206	FULL NAME OF INVENTOR	FAMILY NAME LAM	FIRST GIVEN NAME Morgan	SECOND GIVEN NAME Chun
	RESIDENCE & CITIZENSHIP	CITY Vancouver	STATE OR FOREIGN COUNTRY British Columbia	COUNTRY OF CITIZENSHIP CANADA
	POST OFFICE ADDRESS	POST OFFICE ADDRESS 3093-29th Avenue West	CITY Vancouver	STATE & ZIP CODE/COUNTRY British Columbia, V6L 1Y5 CANADA
207	FULL NAME OF INVENTOR	FAMILY NAME CURRY	FIRST GIVEN NAME Patrick	SECOND GIVEN NAME Mark
	RESIDENCE & CITIZENSHIP	CITY Vancouver	STATE OR FOREIGN COUNTRY British Columbia	COUNTRY OF CITIZENSHIP CANADA
	POST OFFICE ADDRESS	POST OFFICE ADDRESS 638 East 21st Avenue	CITY Vancouver	STATE & ZIP CODE/COUNTRY British Columbia, V5V 1R7 CANADA
208	FULL NAME OF INVENTOR	FAMILY NAME RUBINCHIK	FIRST GIVEN NAME Valery	SECOND GIVEN NAME
	RESIDENCE & CITIZENSHIP	CITY Richmond	STATE OR FOREIGN COUNTRY British Columbia	COUNTRY OF CITIZENSHIP CANADA
	POST OFFICE ADDRESS	POST OFFICE ADDRESS 10493 Yarmish Drive	CITY Richmond	STATE & ZIP CODE/COUNTRY British Columbia, V7E 5L5 CANADA

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

SIGNATURE OF INVENTOR 201	SIGNATURE OF INVENTOR 202	SIGNATURE OF INVENTOR 203
Alain H. CURAUDEAU	Herma C. NEYNDORFF	Jing-Song TAO
DATE	DATE	DATE
SIGNATURE OF INVENTOR 204	SIGNATURE OF INVENTOR 205	SIGNATURE OF INVENTOR 206
Julia G. LEVY	David W. C. HUNT	Morgan Chun LAM
DATE	DATE	DATE
SIGNATURE OF INVENTOR 207	SIGNATURE OF INVENTOR 208	
Patrick Mark CURRY	Valery RUBINCHIK	
DATE	DATE	

**ASSIGNMENT
JOINT**

THIS ASSIGNMENT, by **Alain H. CURAUDEAU**, residing at 1785 Fulton Avenue, West Vancouver, British Columbia, V7V 1S8, Canada, **Herma C. NEYNDORFF**, residing at 1826 Grant Street, Vancouver, British Columbia, V5L 2Y8, Canada, **Jing-Song TAO**, residing at 2450 West 18th Avenue, Vancouver, British Columbia, V6L 1B1, Canada, **Julia G. LEVY**, residing at #601 - 1490 Pennyfarthing Drive, Vancouver, British Columbia V6J 4Z3, Canada, **David W. C. HUNT**, residing at 12722 Beckett Road, Surrey, British Columbia, V4A 2X1, Canada, **Morgan Chun LAM**, residing at 3093-29th Avenue West, Vancouver, British Columbia, V6L 1Y5, Canada, **Patrick Mark CURRY**, residing at 638 East 21st Avenue, Vancouver, British Columbia, V5V 1R7 Canada, and **Valery RUBINCHIK**, residing at 10493 Yarmish Drive, Richmond, British Columbia, V7E 5L5, Canada (hereinafter referred to as the assignors), respectively, witnesseth:

WHEREAS, said assignors have invented certain new and useful improvements in **PHOTODYNAMIC THERAPY FOR THE TREATMENT OF HYPERACTIVE SEBACEOUS GLAND DISORDERS USING TOPICALLY APPLIED HYDROPHOBIC GREEN PORPHYRINS**, set forth in an application for Letters Patent of the United States, bearing Serial No. 10/588,419 and filed on August 4, 2006 with an **international filing date of February 8, 2005**; and

WHEREAS, **QLT Inc.**, a corporation duly organized under and pursuant to the laws of Province of British Columbia, Canada and having its principal place of business at 887 Great Northern Way, Vancouver, British Columbia V5T 4T5, Canada is desirous of acquiring the entire right, title and interest in and to said inventions and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

NOW, THEREFORE, in consideration of good and sufficient consideration, the receipt of which is hereby acknowledged, said assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto said assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by said assignee, for its own use and the use of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignors, had this sale and assignment not been made.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, said assignors are the sole and lawful owners of the entire right, title and interest in and to said inventions and the application for Letters Patent above-mentioned, and that the same are unencumbered and that said assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that said assignors will, whenever counsel of said assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said inventions, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said inventions in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said inventions, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of said assignee, its successors, legal representatives and assigns.

AND said assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to said assignee as the assignee of said inventions and the Letters Patent to be issued thereon for the sole use of said assignee, its successors, legal representatives and assigns.

Date	Alain H. CURAUDEAU
Date	Herma C. NEYNDORFF
Date	Jing-Song TAO
Date	Julia G. LEVY
Date	David W. C. HUNT
Date	Morgan Chun LAM
Date	Patrick Mark CURRY
Date	Valery RUBINCHIK

Date Alain H. CURAUDEAU

Province of)
British Columbia)
)

On _____, 2007 before me, _____, Notary Public,
personally appeared Alain H. CURAUDEAU, personally known to me - **OR** - proved to me on
the basis of satisfactory evidence to be the person whose name is subscribed to the within
instrument and acknowledged to me that he/his executed the same in his authorized capacity, and
that by his/her signature on the instrument the person, or the entity upon behalf of which the
person acted, executed the instrument.

WITNESS my hand and official seal.

Notary Public in and for
the Province of British Columbia

Date Herma C. NEYNDORFF

Province of)
British Columbia)
)

On _____, 2007 before me, _____, Notary Public,
personally appeared Herma C. NEYNDORFF, personally known to me - **OR** - proved to me on
the basis of satisfactory evidence to be the person whose name is subscribed to the within
instrument and acknowledged to me that he/she executed the same in his authorized capacity,
and that by his/her signature on the instrument the person, or the entity upon behalf of which the
person acted, executed the instrument.

WITNESS my hand and official seal.

Notary Public in and for
the Province of British Columbia

Date Jing-Song TAO

Province of)
British Columbia)
)

On _____, 2007 before me, _____, Notary Public,
personally appeared Jing-Song TAO, personally known to me - **OR** - proved to me on the basis
of satisfactory evidence to be the person whose name is subscribed to the within instrument and
acknowledged to me that he/she executed the same in his authorized capacity, and that by his/her
signature on the instrument the person, or the entity upon behalf of which the person acted,
executed the instrument.

WITNESS my hand and official seal.

Notary Public in and for
the Province of British Columbia

Date Julia G. LEVY

Province of)
British Columbia)
)

On _____, 2007 before me, _____, Notary Public,
personally appeared Julia G. LEVY, personally known to me - **OR** - proved to me on the basis
of satisfactory evidence to be the person whose name is subscribed to the within instrument and
acknowledged to me that he/she executed the same in his authorized capacity, and that by his/her
signature on the instrument the person, or the entity upon behalf of which the person acted,
executed the instrument.

WITNESS my hand and official seal.

Notary Public in and for
the Province of British Columbia

Date David W. C. HUNT

Province of)
British Columbia)
)

On _____, 2007 before me, _____, Notary Public,
personally appeared David W. C. HUNT, personally known to me - **OR** - proved to me on the
basis of satisfactory evidence to be the person whose name is subscribed to the within instrument
and acknowledged to me that he/his executed the same in his authorized capacity, and that by
his/her signature on the instrument the person, or the entity upon behalf of which the person
acted, executed the instrument.

WITNESS my hand and official seal.

Notary Public in and for
the Province of British Columbia

Date Morgan Chun LAM

Province of)
British Columbia)
)

On _____, 2007 before me, _____, Notary Public,
personally appeared Morgan Chun LAM, personally known to me - **OR** - proved to me on the
basis of satisfactory evidence to be the person whose name is subscribed to the within instrument
and acknowledged to me that he/his executed the same in his authorized capacity, and that by
his/her signature on the instrument the person, or the entity upon behalf of which the person
acted, executed the instrument.

WITNESS my hand and official seal.

Notary Public in and for
the Province of British Columbia

Date Patrick Mark CURRY

Province of)
British Columbia)
)

On _____, 2007 before me, _____, Notary Public,
personally appeared Patrick Mark CURRY, personally known to me - **OR** - proved to me on the
basis of satisfactory evidence to be the person whose name is subscribed to the within instrument
and acknowledged to me that he/his executed the same in his authorized capacity, and that by
his/her signature on the instrument the person, or the entity upon behalf of which the person
acted, executed the instrument.

WITNESS my hand and official seal.

Notary Public in and for
the Province of British Columbia

Date Valery RUBINCHIK

Province of)
British Columbia)
)

On _____, 2007 before me, _____, Notary Public,
personally appeared Valery RUBINCHIK, personally known to me - **OR** - proved to me on the
basis of satisfactory evidence to be the person whose name is subscribed to the within instrument
and acknowledged to me that he/his executed the same in his authorized capacity, and that by
his/her signature on the instrument the person, or the entity upon behalf of which the person
acted, executed the instrument.

WITNESS my hand and official seal.

Notary Public in and for
the Province of British Columbia

Cherie Davis

From: Cherie Davis
Sent: Tuesday, August 21, 2007 9:33 AM
To: mcurry@[REDACTED]
Subject: US Patent Appn 10/588419 Documents for your signature / QLT ref 30005-10019.20

Importance: High

US Patent Appn 10/588419
National entry of PCT/CA2005/000154
QLT Inc. ref 30005-10019.20

Dear Dr. Mark Curry,

Last month I mailed to your East 21st Avenue residence a copy of the subject application, on which you are named as co-inventor, and 2 forms for your review and signature. Did you receive the package? I will email you the 2 forms if you wish. One of the forms, the Assignment, is to be executed in the presence of a Notary Public or lawyer. I can redo the Assignment form so that you can have two witnesses instead of a Notary or lawyer, if you prefer.

Best regards,
Cherie Davis, B.Sc.
IP Paralegal
QLT Inc.
ph: 604-707-7402 (direct)
fx: 604-873-0816

This electronic transmission (including any and all attachments) is intended solely for the use of the individual or entity to whom it is addressed and may contain information that is privileged and/or confidential. If you are not the intended recipient of this electronic transmission, you are hereby notified that any disclosure, copying or distribution, or the taking of any action in reliance upon the contents of this electronic transmission, is strictly prohibited, and you are further requested to purge this electronic transmission and all copies thereof from your computer system.

Cherie Davis

From: System Administrator
To: mcurry@[REDACTED]
Sent: Tuesday, August 21, 2007 9:33 AM
Subject: Delivered: Delivery Notification: Delivery has been successful

Your message

To: mcurry@[REDACTED]
Subject: US Patent Appn 10/588419 Documents for your signature / QLT ref 30005-10019.20
Sent: 8/21/2007 9:33 AM

was delivered to the following recipient(s):

mcurry@[REDACTED] on 8/21/2007 9:33 AM



QLT Inc.

887 Great Northern Way
Vancouver, BC Canada V5T 4T5

t 604.707.7000
f 604.707.7001
www.qltinc.com

BY COURIER

September 14, 2007

Dr. P. Mark Curry
638 East 21st Avenue,
Vancouver, BC V5V 1R7

US Patent Application 10/588419

Title: PHOTODYNAMIC THERAPY FOR THE TREATMENT OF HYPERACTIVE SEBACEOUS
GLAND DISORDERS USING TOPICALLY APPLIED HYDROPHOBIC GREEN
PORPHYRINS

QLT Inc. ref 30005-10019.20

Dear Dr. Curry:

The subject US patent application, on which you are named as a co-inventor, was submitted to the US Patent & Trademark Office on August 4, 2006. Enclosed for your review are the published PCT application, WO 2005/120572, and the Preliminary Amendment which comprise the US patent application. Please retain these documents in case Dr. Lana Janes wishes to discuss them with you during the prosecution phase of the application. Also enclosed are 2 forms; a Declaration and an Assignment for your review and execution.

Please sign the Declaration after you have reviewed the application and prior to or on the same day as you sign the Assignment. Please return the signed Declaration to me as soon as possible as the form is due at the US Patent & Trademark Office by October 15th. A self-addressed envelope is enclosed for your convenience.

Please sign the Assignment in the presence of a lawyer or Notary Public. If you provide us with the lawyer's or notary's receipt, QLT will reimburse you for the charge.

If you have any questions or concerns regarding the application, please contact:

Lana E. Janes, Ph.D.
Chief Patent Counsel, Intellectual Property
Ph: 604-707-7423 [direct]
em: ljanes@qltinc.com

Yours truly,

QLT Inc.

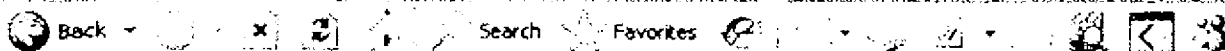
Cherie Davis, IP Paralegal
ph: 604-707-7402 [direct]
em: cdavis@qltinc.com

encls (3)

100102011 PCT - Decl. Assignment 14Sep2007.doc

EXHIBIT C

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Addresses

Same Day Transportation - Online Ordering and Tracking



Status Delivered

Name OLT INC
 Address 887 GREAT NORTHERN WAY
 Suite/Dept SHIP
 City, State VANCOUVER, BC
 Zip Code V5T 4T5
 Pick up from 12-ICLS/40 DEL
 Telephone 604-707-7151 Ext
 Instructions

To
 Name P. MARK CURRY
 Address 638 E 21 AVE
 Suite/Dept
 City, State VANCOUVER, BC
 Zip Code V5V 1R7
 Deliver to
 Telephone Ext
 Instructions MAIL SLOT OK

Pieces 1
 Weight (Lb) 1
 Requested By SHIPPING DEPT.

Service 04-ONE&GONE
 Return None
 Vehicle

Reference 85030

BOL

Notes

Order time September 14, 2007 11:29AM (PDT)
 Ready time September 14, 2007
 Delivery Time September 14, 2007 12:58PM (PDT)
 Signed by MARK

Drivers 4042

Done

Start



RE: Sample PO: Alphapr...

dxnow.com - Delivery...

Vandhana Misri

From: Vandhana Misri
Sent: Thursday, September 20, 2007 1:19 PM
To: 'mcurry@[REDACTED]'
Subject: Execution of Patent Related Documents

Dear Dr. Curry

My name is Vandhana Misri and I have recently joined the IP department here at QLT and have assumed some of the responsibilities associated with Cherie Davis' role as Cherie is no longer with the company.

I am in the midst of reviewing all outstanding items and it appears that Cherie forwarded to you via courier on September 14, 2007 a Declaration and Assignment document for execution and I was hoping that I might be able to collect those same signed documents from you soon as the due date for filing them with the patent office is fast approaching.

Please advise me as to when I might make arrangements for a courier to collect the documents.

If you have any questions or require any further information please do not hesitate to contact me.

Sincerely,

Vandhana Misri, BA
Administrator, Intellectual Property
QLT Inc.
Tel: 604-707-7322 (direct)
Fax: 604-873-0816
E-mail: vmisri@qtlinc.com

Vandhana Misri

From: Mark Curry [mcurry@]
Sent: Friday, September 21, 2007 9:24 AM
To: Vandhana Misri
Subject: Re: Execution of Paten Related Documents

Dear Ms. Misri,

I am simpy too busy to seek out a lawyer or notary public, arrange an appointment and deliver these documents. [REDACTED]

[REDACTED] I am sure that you have reviewed the file and seen that I signed away any royalties from this patent for one dollar. [REDACTED]

[REDACTED] please do not bother me with any more emails or phone calls.

Mark Curry

----- Original Message -----

From: Vandhana Misri <vmisri@qltinc.com>
Date: Thursday, September 20, 2007 1:18 pm
Subject: Execution of Paten Related Documents
To: mcurry@langara.bc.ca

> Dear Dr. Curry

>

> My name is Vandhana Misri and I have recently joined the IP department

> here at QLT and have assumed some of the responsibilities associated with Cherie Davis' role as Cherie is no longer with the company.

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> I am in the midst of reviewing all outstanding items and it appears that Cherie forwarded to you via courier on September 14, 2007 a Declaration and Assignment document for execution and I was hoping that I might be able to collect those same signed documents from you soon as the due date for filing them with the patent office is fast approaching.

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> Please advise me as to when I might make arrangements for a courier to collect the documents.

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> Sincerely,

>

> Vandhana Misri, BA
> Administrator, Intellectual Property
> QLT Inc.
> Tel: 604-707-7322 (direct)
> Fax: 604-873-0816
> E-mail: vmisri@qltinc.com

>

>

> This electronic transmission (including any and all attachments) is intended solely for the use of the individual or entity to whom it is addressed and may contain information that is privileged and/or

Vandhana Misri

From: Vandhana Misri
Sent: Wednesday, October 03, 2007 3:18 PM
To: 'Mark Curry'
Subject: RE: Execution of Paten Related Documents for QLT Ref. No's 30005-10008.00 & 30005-10019.20

Attachments: Assignment - amended 04Sep2007.pdf; Declaration - amended 04Sep2007.pdf; Assignment - Mark Curry.pdf; Declaration.pdf; 30005-10008.00 Application as Filed.pdf; 30005-10019.20 Application as Filed.pdf



Assignment - amended 04Sep2007.mended 04Sep200... Curry.pdf (2... KB) 30005-10008.00 Application as ... 30005-10019.20 Application as ...

Dear Dr. Curry

Thank you very much for your email below.

I can appreciate that you are very busy and would be happy to make the necessary arrangements to have someone come to you to complete the required notarizations, please let me know when a convenient time and place would be and I would be more than happy to do so.

Please find attached for your convenience an electronic version of; the application as filed, the Assignment document and the Declaration for each of the cases referenced above. Please review the applications and print-off the related documents for execution where your name is indicated. As I said, please let me know when I should arrange to have our counsel come to you to complete the notarization process.

If I can be of further assistance please do no hesitate to contact me.

Sincerely,
Vandhana Misri

Vandhana Misri, BA
Administrator, Intellectual Property
QLT Inc.
Tel: 604-707-7322 (direct)
Fax: 604-873-0816
E-mail: vmisri@qltinc.com

-----Original Message-----

From: Mark Curry [mailto:mcurry@
Sent: Friday, September 21, 2007 9:24 AM
To: Vandhana Misri
Subject: Re: Execution of Paten Related Documents

Vandhana Misri

From: Vandhana Misri
Sent: Thursday, October 11, 2007 5:51 PM
To: 'mcurry@[REDACTED]'
Subject: FW: Execution of Paten Related Documents for QLT Ref. No's 30005-10008.00 & 30005-10019.20

Attachments: Declaration - amended 04Sep2007.pdf; Declaration.pdf; 30005-10008.00 Application as Filed.pdf; 30005-10019.20 Application as Filed.pdf; Revised Assignment for Mark Curry.pdf; Revised Assignment for Mark Curry.pdf



Declaration -
mended 04Sep200...



Declaration.pdf (50
KB)



30005-10008.00
Applicatlon as ...



30005-10019.20
Applicatlon as ...



Revised Assignment
for Mark Cu...



Revised Assignment
for Mark Cu...

Dear Dr. Curry

Further to my email below of October 3, 2007 with respect to the execution of the attached Assignment and Declaration documents for filing with the USPTO, please be advised that I have revised the Assignment documents to eliminate the requirement for you to have your signature notarized and would therefore greatly appreciate if you could please print out and execute the attached and either fax them back to me at the number below or contact me and I can arrange for courier pick up. The final deadline date by which to file these documents with the USPTO is approaching shortly and your cooperation would be most appreciated.

If I can be of further assistance please do no hesitate to contact me.

Sincerely,
Vandhana Misri

Vandhana Misri, BA
Administrator, Intellectual Property
QLT Inc.
Tel: 604-707-7322 (direct)
Fax: 604-873-0816
E-mail: vmisri@qltinc.com

-----Original Message-----

From: Vandhana Misri
Sent: Wednesday, October 03, 2007 3:18 PM
To: 'Mark Curry'
Subject: RE: Execution of Paten Related Documents for QLT Ref. No's 30005-10008.00 & 30005-10019.20

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